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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/609,346

06/26/2003

Zailin Yu

ZYU-0603

CONFIRMATION NO. 1103

FORMALITIES LETTER

OC000000011033992

FortuneRock Inc. Attn: Dr. Zailin Yu Apt. D109 3120 Saint Paul St. Baltimore, MD 21218

Date Mailed: 10/14/2003

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further further processing delays.

Additional claim fees of \$791 as a small entity, including any required multiple dependent claim fee, are
required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are
due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1241 for a Small Entity

• \$385 Statutory basic filing fee.

- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$791
 - \$315 for 35 total claims over 20.
 - \$336 for 8 independent claims over 3.
 - \$140for multiple dependent claim surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

Effective OCTOBER 1, 2003. Patent Fees will be adjusted. Please note that fee amounts required by the enclosed Notice might reflect the fees due on the date of filing. Any fee paid on or after OCTOBER 1, 2003 is subject to the new FY 2004 fee schedule and must be paid in the new amount.

EXAMPLES OF FEES BEING ADJUSTED		* Indicates
See www.uspto.gov for complete FY 2003		fees remain
and FY-2004 Fee Schedules		at FY 2003
and FY-2004 Fee Schedules		amount
DESCRIPTION	FY 2003	FY 2004
Basic filing fee - Utility	\$750	\$770
Basic filing fee - Utility (Small Entity)	\$375	\$385
Independent claims in excess of three	\$84	\$86
Independent claims in excess of three (Small Entity)	\$42	\$43
Claims in excess of twenty	\$18	\$18*
Claims in excess of twenty (Small Entity)	\$9	\$9*
Multiple dependent claim	\$280	\$290
Multiple dependent claim (Small Entity)	\$140	\$145
Oursbargs Late filing fee	\$130	\$130
Surcharge - Late filing fee Surcharge - Late filing fee (Small Entity)	\$65	\$65
	\$330	\$340
Design filing fee	\$165	\$170
Design filing fee (Small Entity)	\$520	\$530
Plant filing fee	\$260	\$265
Plant filing fee (Small Entity)	\$750	\$770
Reissue filing fee	\$375	\$385
Reissue filing fee (Small Entity)	\$84	\$86
Reissue independent claims	\$42	\$43
Reissue independent claims (Small Entity)	\$18	\$18*
Reissue claims in excess of twenty	\$9	\$9*
Reissue claims in excess of twenty (Small Entity)	\$160	\$160*
Provisional application filing fee	\$80	\$80*
Provisional application filing fee (Small Entity)	\$50	\$50*
Surcharge - Late provisional filing fee	\$25	\$25*
Surcharge - Late provisional filing fee (Small Entity)	\$130	\$130*
Non-English Specification	\$110	\$110*
Extension - First month	\$55	\$55*
Extension - First month (Small Entity)	\$410	\$420
Extension - Second month	\$205	\$210
Extension - Second month (Small Entity)	\$930	\$950
Extension - Third month	\$465	\$475
Extension - Third month (Small Entity)	\$1,450	\$1,480
Extension - Fourth month	\$725	\$740
Extension - Fourth month (Small Entity)	\$1,970	\$2,010
Extension - Fifth month	\$985	\$1,005
Extension - Fifth month (Small Entity)	\$130	\$130*
Petition	\$50	\$50*
Processing returned checks	ΨΟυ	400

For further fee information, please call (703-308-HELP)